

PATENT

Attorney Docket No. MTI-31532

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of : Weimer, Ronald A.  
Serial No. : 09/941,827  
Filing Date : August 29, 2001  
For : Method of Improved High K Dielectric-Polysilicon Interface for CMOS Devices  
Examiner : HUYNH, Yennhu B.  
Group Art Unit : 2813  
Confirmation No. : 7551

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**CERTIFICATION UNDER 37 CFR 1.8(a) and 1.10**

I hereby certify that, on the date shown below, this correspondence is being:

**Mailing**

- ☒ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231  
37 CFR 1.8(a) 37 CFR 1.10  
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**Transmission**

- ☐ transmitted by facsimile to Fax No. \_\_\_\_\_ addressed to Examiner \_\_\_\_\_ at the U.S. Patent and Trademark Office.

Date: 12-3-02

Antony J. Juty

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Assistant Commissioner for Patents  
Washington, D.C. 20231

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

In compliance with Applicant's duty of disclosure as set forth in 37 C.F.R. §1.56, listed on the attached equivalent to Form PTO-1449 are those patents and other publications known to Applicant which may be considered material to the patentability of the claims of the above-identified application.

Applicant respectfully requests that the listed documents be considered by the Examiner, that these references be made of record in the present application, and that an initialed copy of the Form PTO-1449 be returned to the undersigned in accordance with MPEP 609.

No fee is due for consideration of these items because this statement is submitted prior to the issuance of an Office Action on the merits.

Respectfully submitted,



Dated: December 3, 2002

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE Washington, D.C. 20231  <b>INFORMATION DISCLOSURE          STATEMENT BY APPLICANT</b>	ATTY. DOCKET NO.	Serial No.
	MTI-31532	09/941,827
	Applicant	Confirmation No.
	Weimer, Ronald A.	7551
	Filing Date	Group Art Unit
	August 29, 2001	2812

## U.S. PATENT DOCUMENTS

		Patent Number	Issue Date	Patentee	U.S. Class	Sub-Class	Filing Date
		6,475,883	11/05/02	Powell et al.	438	486	07/03/01
		6,410,968	06/25/02	Powell et al.	257	412	08/31/00
		6,362,086	03/26/02	Weimer et al.	438	591	09/15/99

## FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

Examiner Initial		Document Number	Publication Date	Country	Int'l Class	Sub-Class	Translation (Yes/No)
	B1						

## OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

Examiner Initial		Non-Patent Document
	C1	

Examiner Initials	Date Considered
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	